

4.2-1.2.1.2 The sponsor of each project shall provide a functional program that:

- *(1) Defines the scope and scale of the project (including the care model).

- (2) Facilitates the application of licensure and occupancy approvals by authorities having jurisdiction (AHJs).
- (3) Addresses applicable provisions of the *Guidelines*

APPENDIX

A4.2-1.2.1.2 (1) Functional program and care models

a. Care models. The care model will vary depending on whether provision of services is centralized or decentralized and on the size of a facility. Below are general descriptions of the different size models commonly used for assisted living facilities.

Small Model

In a small assisted living facility, five or fewer residential rooms generally are arranged in a residentially scaled home with centralized services, bathing facilities, and resident and staff support areas.

—With centralized services:

- This type of community includes centralized dining, housekeeping, soiled and clean utility, medication storage and delivery, linen, and other services provided by care staff for residents.
- The staff models are hierarchal in nature, with direct care staff managing overall care in accordance with individualized service plans.
- Staff members provide care for the same residents, maximizing the opportunity to develop familiarity with a resident's individual needs.
- The travel distances for both staff and residents are very short due to the household nature of the community.

—With decentralized services, including additional services brought to the resident from outside providers/contractors:

- This type of community focuses on the holistic care of each resident.
- Specialized social, recreational, educational, and spiritual programs are offered based on individual resident needs.
- Residents receive individualized personal care and health-related services as needed, including care management from a nurse and therapy services from therapists certified in physical, occupational, and speech therapy.

Medium-Sized Model

In a medium-sized assisted living facility, no more than 16 residential rooms are arranged in a residentially scaled home or household that can be located in a larger community or in a freestanding building. Services can be centralized or decentralized or a combination of the two depending on other care services being offered and the type of community setting (e.g., a continuing care retirement community).

—With centralized services:

- This type of community includes centralized dining, housekeeping, laundry, medication storage and delivery,

linen storage, and other services provided by care staff for residents in 16 or fewer resident rooms. If a household is connected to a larger community, dining would be centralized in the household, but food might be supplied from a central kitchen.

- The staff model usually is an integrated household-based team of direct care staff who manage overall care in accordance with individualized service and care plans.
- Staff members provide care for the same residents, maximizing the opportunity to develop familiarity with a resident's individual needs.
- The travel distances for staff and residents are very short due to the household nature of the community.
- This type of community offers an emergency response system for the residents.

—With decentralized services:

- This type of community focuses on the holistic care of each resident.
- Specialized social, recreational, educational, and spiritual programs are offered based on individual resident needs.
- Residents receive individualized personal care and health-related services as needed, including care management by a nurse and therapy services by therapists certified in physical, occupational, and speech therapy.

Large Model

A large assisted living facility may include more than 16 residential-style apartments arranged in a larger community or in a freestanding structure, with centralized or decentralized services, bathing facilities, and resident and staff support areas.

—With centralized services:

- This type of community includes centralized dining, housekeeping, laundry, medication storage and delivery, linen storage, and other services provided by care staff for residents.
- Staff models are hierarchal in nature, with direct care staff managing overall care in accordance with individualized service plans.
- Travel distances for staff are usually shorter and travel distances for residents are usually longer in centralized models.
- This type of community offers an emergency response system for the residents.

—With decentralized services:

- This type of community focuses on the holistic care of each resident.